Quality and fair discipline

Question 1 - Attempt before opening the worked answers

Imagine Tallow Advisory provides tax and compilation services only. It performs no audits, reviews or other assurance engagements. Several client schedules were issued without the review required by its own procedures. Staff say reviewers were consistently overloaded. A client also disputes an agreed fee because a competitor charges less.

1A

Which quality-management standard applies to this practice? Explain the deciding service fact.

1B

Propose an immediate response and a change that addresses the underlying quality problem. Explain how to check the change works.

1C

Distinguish the ordinary fee disagreement from possible professional misconduct. What changes if the client provides evidence suggesting deliberately fabricated time records? Explain why an allegation is not a finding.

Module: 1 > 1.9 The profession's regulatory process > Page: 20-25. Original imagined activity.